

REPORT DATE: 31-12-2020

The X-Compliance Report is a transparency initiative of The Nigerian Stock Exchange (The Exchange), which is designed to maintain market integrity and protect investors by providing compliance related information on all listed companies.

Companies that are listed on The Exchange are required to adhere to high disclosure standards which are prescribed in the Rulebook of The Exchange, 2015 (Issuers’ Rules), and other Rules of The Exchange, from time to time. Financial information, which is periodic disclosure, as well as on-going material information disclosure should be released to The Exchange in a timely manner to enable it efficiently perform its function of maintaining an orderly market. The X-Compliance Report is updated every Friday at the close of market.

THE X-COMPLIANCE REPORT PROVIDES THE FOLLOWING INFORMATION

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PART A: COMPLIANCE REPORT

SCHEDULE 1: RELEASED FINANCIALS

This Schedule contains the list of financial statements filed by listed companies via the Issuers' Portal of The Exchange. Details of the released financials can be found on the website of The Exchange via this link.

SCHEDULE 2: EARLY FILERS OF QUARTERLY & AUDITED FINANCIAL STATEMENTS

Early filers are companies that file their interim financial statements at least two weeks before the due date, and Audited financial statements at least four weeks before the due date.

Companies listed on The Exchange are required to file their financial statements on a timely basis in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). The Exchange has identified the companies listed on Schedule 2 as companies that have met the minimum listing standards in terms of timely disclosure of their annual and quarterly financial statements.

The Exchange is extremely proud of these companies and will continue to showcase listed companies that imbibe high corporate governance practices.

2020 AUDITED FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	YEAR END	DUE DATE	DATE FILED
1.	Airtel Africa Plc	31 March 2020	29 June 2020	13 May 2020
2.	Guinness Nigeria Plc	30 June 2020	28 September 2020	28 August 2020

2019 AUDITED FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	YEAR END	DUE DATE	DATE FILED
3.	Guinness Nigeria Plc	30 June 2019	28 September 2019	29 August 2019
4.	Infinity Trust Mortgage Bank Plc	31 December 2019	30 March 2020	5 February 2020
5.	Nigerian Breweries Plc	31 December 2019	30 March 2020	13 February 2020
6.	United Capital Plc	31 December 2019	31 March 2020	18 February 2020
7.	Austin Laz & Company Plc	31 December 2019	31 March 2020	20 February 2020
8.	Zenith Bank Plc	31 December 2019	31 March 2020	21 February 2020
9.	Dangote Cement Plc	31 December 2019	31 March 2020	26 February 2020
10.	Tantalizers Plc	31 December 2019	31 March 2020	27 February 2020

11.	Custodian Investment Plc	31 December 2019	31 March 2020	28 February 2020
12.	Transcorp Hotels Plc	31 December 2019	31 March 2020	28 February 2020
13.	Transnational Corporation of Nigeria Plc	31 December 2019	31 March 2020	28 February 2020
14.	United Bank for Africa Plc	31 December 2019	31 March 2020	28 February 2020
15.	MTN Communications Plc	31 December 2019	31 March 2020	28 February 2020
16.	Arдова Plc	31 December 2019	31 March 2020	28 February 2020

2020 FIRST QUARTER INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	31 March 2020	First Quarter	30 April 2020	7 April 2020
2.	Africa Prudential Plc	31 March 2020	First Quarter	30 April 2020	16 April 2020

2020 SECOND QUARTER INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	30 June 2020	Second Quarter	30 July 2020	1 July 2020
2.	United Capital Plc	30 June 2020	Second Quarter	30 July 2020	16 July 2020

2020 THIRD QUARTER INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	30 September 2020	Third Quarter	30 October 2020	5 October 2020
2.	Austin Laz & Company Plc	30 September 2020	Third Quarter	30 October 2020	7 October 2020
3.	Union Dicon Salt Plc	30 September 2020	Third Quarter	30 October 2020	15 October 2020
4.	Secure Electronic Technologies Plc	30 September 2020	Third Quarter	30 October 2020	16 October 2020

SCHEDULE 3: DELINQUENT FILERS OF AUDITED FINANCIAL STATEMENTS

The Exchange has identified the companies listed on Schedule 3 as companies that fell short of the minimum listing standards in terms of timely disclosure of their audited annual financial statements and have Missed Regulatory Filings (MRF) or are Awaiting Regulatory Approval (AWR) from their primary regulators.

The sanctions for non-compliance with periodic financial disclosure obligations are clearly spelt out in the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules).

AUDITED ANNUAL FINANCIAL STATEMENTS				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	Roads Nigeria Plc	March	DIP	Non-Rendition of Audited Financial Statements for 2016 to 2020
2.	Nigerian German Chemical Plc	March	DIP	Non-Rendition of Audited Financial Statements for 2014 to 2020
3.	Multi-Trex Integrated Foods Plc	April	BMR	Non-Rendition of Audited Financial Statements for 2015 to 2020
4.	DN Tyre & Rubber Plc	September	MRS	Non-Rendition of Audited Financial Statements for 2014 to 2020
5.	Goldlink Insurance Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2018 and 2019
6.	Capital Oil Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2018 and 2019
7.	Resort Savings & Loans Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2018 and 2019
8.	Standard Alliance Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2019
9.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2014 to 2019
10.	Unic Diversified Holdings Plc	December	DIP	Non-Rendition of Audited Financial Statements for 2015 to 2019
11.	Evans Medical Plc	December	DIP	Non-Rendition of Audited Financial Statements for 2015 to 2018

AUDITED ANNUAL FINANCIAL STATEMENTS				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
12.	International Energy Insurance Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2019
13.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2014 to 2019
14.	Staco Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2018 and 2019
15.	Medview Airline Plc	December	BMF	Non-Rendition of Audited Financial Statements for 2019
16.	Oando Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2019
17.	Thomas Wyatt Nigeria Plc	March	MRS	Non-Rendition of Audited Financial Statements for 2020

SCHEDULE 4: DELINQUENT FILERS OF QUARTERLY FINANCIAL STATEMENTS

Companies listed on The Exchange are required to file their quarterly accounts within 30 days after the end of the quarter in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). Details of the quarterly filings can be downloaded from the released financials on the website.

The sanctions for non-compliance with periodic financial disclosure obligations are clearly spelt out in the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). Schedule 4 shows details of companies that have defaulted in their quarterly filings with The Exchange.

QUARTERLY FINANCIAL STATEMENTS				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2014 to 2020
2.	Capital Oil Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
3.	DN Tyre & Rubber Plc	September	MRS	Non-Rendition of Quarterly Financial Statements for Q3 2015 to 2020
4.	Evans Medical Plc	December	DIP	Non-Rendition of Quarterly Financial Statements for 2016 to 2020

QUARTERLY FINANCIAL STATEMENTS				
S/N	COMPANY	Year End	SYMBOL	REMARKS
5.	Goldlink Insurance Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
6.	International Energy Insurance Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2018 to 2020
7.	Juli Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2016 to 2020
8.	Resort Savings & Loans Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
9.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2018 to 2020
10.	Staco Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
11.	Standard Alliance Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2020
12.	Unic Diversified Holding Plc	December	DIP	Non-Rendition of Quarterly Financial Statements for 2015 to 2020
13.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2015 to 2020
14.	Nigerian German Chemical Plc	March	DIP	Non-Rendition of Quarterly Financial Statements for Q3 2014 to 2019
15.	Roads Nigeria Plc	March	DIP	Non-Rendition of Quarterly Financial Statements for 2014 to 2019
16.	Multi-Trex Integrated Foods Plc	April	BMR	Non-Rendition of Quarterly Financial Statements for 2014 to 2019
17.	Medview Airline Plc	December	BMF	Non-Rendition of Quarterly Financial Statements for Q1 to Q3 2020
18.	Oando Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for Q1 to Q3 2020
19.	Union Dicon Salt Plc	December	BMR	Non-Rendition of Quarterly Financial Statements for Q1 to Q3 2020

QUARTERLY FINANCIAL STATEMENTS				
S/N	COMPANY	Year End	SYMBOL	REMARKS
20.	Chellarams Plc	March	MRF	Non-Rendition of Quarterly Financial Statements for Q1 & Q2 2020
21.	Thomas Wyatt Nigeria Plc	March	MRS	Non-Rendition of Quarterly Financial Statements for Q1 & Q2 2020

**PART B:
ENFORCEMENT
ACTIONS**

SCHEDULE 5: DISCLOSURE VIOLATIONS

UNAUTHORISED PUBLICATIONS, NON-DISCLOSURE OF MATERIAL INFORMATION, ETC

Every listed company is required to provide The Exchange with timely information to enable it efficiently perform its function of maintaining an orderly market. In accordance with the provisions of Appendix III: General Undertaking (Equities), Rulebook of The Exchange, 2015 (Issuers' Rules) and The Exchange's Circular No. NSE/LARD/LRD/CIR3/17/05/12 on Publication of Announcements or Press Releases via The Issuers' Portal, listed companies are required to obtain prior written approval from The Exchange before publications that affect shareholders' interest are made in the media or via the Issuers' Portal.

In addition, companies are also required to disclose material information to The Exchange and publish the information in their Annual Reports.

The companies listed in Schedule 5 breached certain provisions of the Listings Rules and were sanctioned accordingly.

NAME OF COMPANIES	NATURE OF BREACH	SANCTIONS (₦)
2020		
Access Bank Plc	Unauthorized Publication – Notice of Board Meeting and Closed Period	2,205,000.00
Union Bank Plc	Non-Disclosure of Material Information	MCT ¹
Mutual Benefits Assurance Plc	Unauthorized Publication – Notice of Annual General Meeting	248,062.50
Deap Capital Management & Trust Plc	Unauthorized Publication – Notice of 11 th Annual General Meeting	₦ 446,512.50
2019		
Access Bank Plc	Non-disclosure of resolutions passed at Board Meeting	4,410,000.00
Diamond Bank Plc	Non-disclosure of resolutions passed at Board Meeting	3,234,000.00
First Aluminium Nigeria Plc	Non-dispatch of the Notice of AGM and Annual Reports to shareholders twenty-one (21) days before the date of the meeting	476,280.00

¹ The Bank is to undergo a Mandatory Compliance Training (MCT)

SCHEDULE 6: SANCTIONS FOR DEFAULT FILINGS OF FINANCIAL STATEMENTS

The information published in Schedule 6 are the names of companies that filed their Audited and Interim Financial Statements after the regulatory due date. The Exchange applied sanctions in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules).

2020 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
1.	Greif Nigeria Plc	Audited 2019	October	3-Feb-20	500,000.00
2.	Deap Capital Management & Trust Plc	Audited 2019	September	5-Feb-20	3,800,000.00
3.	Thomas Wyatt Nigeria Plc	3 rd Quarter 2019	December	6-Feb-20	700,000.00
4.	Ellah Lakes Plc	2 nd Quarter 2020	January	1-Mar-20	200,000.00
5.	Deap Capital Management & Trust Plc	2 nd Quarter 2020	March	16-Jul-20	1,700,000.00
6.	African Alliance Insurance Plc	Audited 2019	December	31-Jul-20	3,200,000.00
7.	Universal Insurance Plc	Audited 2019	December	31-Jul-20	3,200,000.00
8.	Conoil Plc	2 nd Quarter 2020	June	4-Aug-20	400,000.00
9.	African Alliance Insurance Plc	1 st Quarter 2020	December	24-Jul-20	2,500,00.00
		2 nd Quarter 2020	December	6-Aug-20	400,00.00
10.	Universal Insurance Plc	1 st Quarter 2020	December	31-Jul-20	3,200,00.00
		2 nd Quarter 2020	December	6-Aug-20	400,00.00
11.	LASACO Assurance Plc	Audited 2019	December	14-Aug-20	4,400,00.00
		1 st Quarter 2020	December	14-Aug-20	4,400,00.00
		2 nd Quarter 2020	December	18-Aug-20	1,700,00.00
12.	eTranzact International Plc	2 nd Quarter 2020	December	20-Aug-20	1,900,00.00
13.	Royal Exchange Plc	Audited 2019	December	25-Aug-20	5,400,00.00
		1 st Quarter 2020	December	25-Aug-20	5,400,00.00

2020 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
		2 nd Quarter 2020	December	27-Aug-20	2,600,00.00
14.	R.T. Briscoe (Nigeria) Plc	Audited 2019	December	21-Sept-20	8,300,00.00
		1 st Quarter 2020	December	23-Sept-20	8,500,00.00
		2 nd Quarter 2020	December	23-Sept-20	5,300,00.00
15.	Union Dicon Salt Plc	Audited 2019	December	30-Sept-20	9,200,000.00
		1 st Quarter 2020	December	15-Oct-20	10,600,000.00
		2 nd Quarter 2020	December	15-Oct-20	7,700,000.00
16.	Interlinked Technologies Plc	Audited 2020	June	29-Sept-20	100,000.00
17.	PZ Cussons Nigeria Plc	Audited 2020	May	3-Oct-20	400,000.00
		1 st Quarter 2020	May	3-Oct-20	300,000.00
18.	FTN Cocoa Processors Plc	Audited 2019	December	29-Jun-20	18,000,000.00
		1 st Quarter 2020	December	29-Jun-20	20,000,000.00
		2 nd Quarter 2020	December	30-Jul-20	11,800,000.00
		3 rd Quarter 2020	December	20-Nov-20	500,000.00
19.	Niger Insurance Plc	Audited 2019	December	7-Dec-20	22,200,200.00
		1 st Quarter 2020	December	7-Dec-20	23,200,000.00
		2 nd Quarter 2020	December	7-Dec-20	17,200,000.00
		3 rd Quarter 2020	December	8-Dec-20	1,800,000.00

2019 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
1.	Greif Nigeria Plc	Audited 2018	October	6-Feb-19	800,000.00
2.	Union Bank Nigeria Plc	Audited 2018	December	2-Apr-19	200,000.00

2019 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
3.	Afromedia Plc	Audited 2018	September	3-Apr-19	400,000.00
4.	Conoil Plc	Audited 2018	December	4-Jul-19	400,000.00
5.	Lasaco Assurance Plc	Audited 2018	December	15-Jul-19	1,100,000.00
6.	Flour Mills of Nigeria Plc	Audited 2019	March	16-Jul-19	1,200,000.00
7.	Lasaco Assurance Plc	1 st Quarter 2019	March	1-Aug-19	300,000.00
8.	Universal Insurance Plc	Audited 2018	December	6-Aug-19	3,600,000.00
		1 st Quarter 2019	March	6-Aug-19	700,000.00
		2 nd Quarter 2019	June	8-Aug-19	700,000.00
9.	Thomas Wyatt Nigeria Plc	Audited 2019	March	22-Jul-19	2,200,000.00
		1 st Quarter 2019	June	6-Aug-19	500,000.00
10.	Royal Exchange Plc	Audited 2019	March	28-Aug-19	5,900,000.00
		1 st Quarter 2019	June	28-Aug-19	3,000,000.00
11.	Access Bank Plc	2 nd Quarter 2019	June	5-Sept-19	700,000.00
12.	R. T. Briscoe Plc	Audited 2018	December	18-Sept-19	7,500,000.00
		1 st Quarter 2019	March	18-Sept-19	19,000,000.00
		2 nd Quarter 2019	June	18-Sept-19	4,800,000.00
13.	Guinea Insurance Plc	Audited 2018	December	20-Sept-19	8,200,000.00
		1 st Quarter 2019	March	20-Sept-19	5,600,000.00
		2 nd Quarter 2019	June	20-Sept-19	5,400,000.00
14.	Niger Insurance Plc	Audited 2018	December	24-Sept-19	8,600,000.00
		1 st Quarter 2019	March	24-Sept-19	5,700,000.00
		2 nd Quarter 2019	June	24-Sept-19	5,500,000.00
15.	Interlinked Technologies Plc	Audited 2019	June	3-Oct-19	200,000.00
16.	Anino International Plc	Audited 2015 - 2018	December	18-Oct-19	41,100,000.00

2019 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
17.	Notore Chemicals Industries Plc	Audited 2019	September	31-Dec-19	200,000.00
18.	Vitafoam Nigeria Plc	Audited 2019	September	31-Dec-19	200,000.00

SCHEDULE 7: FREE FLOAT DEFICIENCIES

Companies listed on The Exchange are required to maintain a minimum free float for the set standards under which they are listed in order to ensure that there is an orderly and liquid market for their securities. Listed below are the free float requirements for companies listed on the various Board of The Exchange:

- For Growth Board, a minimum of 10% of the issued and fully paid up shares or the value of its free float is equal to or above ₦50 million, for entry segment.
- For Growth Board, a minimum of 15% of the issued and fully paid up shares or the value of its free float is equal to or above ₦50 million, for standard segment.
- For ASeM, a minimum of 15% of issued and fully paid up shares or the value of its free float is equal to or above ₦50 million
- For Main Board, a minimum of 20% of the issued and fully paid up shares or the value of its free float is equal to or above ₦20 billion
- For Premium Board, a minimum of 20% of issued and fully paid up shares or the value of its free float is equal to or above ₦40 billion.

The following companies listed in Schedule 7 have free float deficiencies and have applied for waivers from the Regulation Committee of the National Council of The Exchange (RegCom), and have specifically provided compliance plans with tentative timelines to support their requests.

The RegCom considered and approved an extended timeframe for the companies to regain compliance with the listings requirement. The companies are however required to also provide quarterly disclosure reports to The Exchange detailing their level of implementation of the compliance plans.

The names of the companies in this category are as follows:

COMPANIES WITH FREE FLOAT DEFICIENCIES					
S/N	ISSUER	% OF FREE FLOAT	CSI	FREE FLOAT VALUE (₦)	COMPLIANCE DUE DATE
1.	Infinity Trust Mortgage Bank Plc	0.93	BLS	52,789,999.36	17 May 2021

COMPANIES WITH FREE FLOAT DEFICIENCIES					
S/N	ISSUER	% OF FREE FLOAT	CSI	FREE FLOAT VALUE (₦)	COMPLIANCE DUE DATE
2.	The Tourist Company of Nigeria Plc	1.75	DIP	123,514,725.6	N/A ²
3.	Capital Hotels Plc	13.14	BLS	447,602,038.40	16 August 2021
4.	Transcorp Hotels Plc	5.47	BLS	1,497,218,040.00	18 May 2020
5.	Notore Chemical Industries Plc	10.02	BLS	10,091,796,375	15 June 2022
6.	Union Bank of Nigeria Plc	8.32	BLS	12,957,839,207.00	18 May 2020
7.	Golden Guinea Breweries Plc	10.27	BRS	83,436,990.3	N/A ²
8.	Ellah Lakes Plc	14.54	BLS	1,236,152,781.5	31 December 2019
9.	Ekocorp Plc	12.64	BLS	378,059,682	31 October 2017 ²
10.	Medview Airline Plc	14.16	BLS	2,236,866,462.54	N/A ²
11.	Portland Paints & Products Nigeria Plc	13.92	BLS	271,675,034.76	16 August 2020
12.	CWG Plc	15.97	BLS	1,024,021,749.26	16 August 2021
13.	Aluminium Extrusion Industries Plc	16.27	BLS	289,844,414.10	N/A ²
14.	Caverton Offshore Support Group Plc	19.92	BLS	1,368,228,437.30	16 August 2021
15.	Union Dicon Salt Plc	18.00	BMR	538,764,966.00	N/A ²
16.	eTranzact International Plc	17.82	BLS	1,744,277,735.82	7 December 2020

² The Company has requested for additional extension which will be presented to the National Council for approval.

X-COMPLIANCE REPORT

COMPANIES WITH FREE FLOAT DEFICIENCIES					
S/N	ISSUER	% OF FREE FLOAT	CSI	FREE FLOAT VALUE (₦)	COMPLIANCE DUE DATE
17.	Champion Breweries Plc	18.89	BLS	1,271,727,672.02	16 August 2021
18.	Prestige Assurance Plc	16.52	BLS	408,965,291.26	N/A ²
19.	Austin Laz & Company Plc	19.36	BLS	424,363,380.00	N/A ²
20.	Arbico Plc	19.96	BLS	30,531,919.20	15 June 2023
21.	Skyway Aviation Handling Company Plc	6.65	BLS	305,456,832.00	N/A ²
22.	International Breweries Plc	11.06	BLS	17,682,356,007.05	N/A ²
23.	Abbey Mortgage Bank Plc	11.35	BLS	770,099,163.75	N/A ²
24.	UACN Property Development Company Plc	4.18	BLS	613,320,276.99	N/A ²

SCHEDULE 8: COMPANIES RESTRUCTURING AND/OR SLATED FOR DELISTING

The companies listed in Schedule 8 are either undergoing the Delisting Process (Regulatory/Voluntary) or Restructuring:

DELISTING IN PROCESS			
S/N	COMPANY	CSI	REMARK
1.	Evans Medical Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
2.	The Tourist Company of Nigeria Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
3.	Nigerian German Chemicals Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
4.	Roads Nigeria Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
5.	Unic Diversified Holdings Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process

DELISTING WATCHLIST			
S/N	COMPANY	CSI	REMARK
1.	Omatek Ventures Plc	DWL	The National Council has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report
2.	Deap Capital Management & Trust Plc	DWL	The National Council has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report

RESTRUCTURING			
S/N	COMPANY	CSI	REMARK
1.	Aso Savings & Loans Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
2.	FTN Cocoa Processors Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report

RESTRUCTURING			
S/N	COMPANY	CSI	REMARK
3.	Goldlink Insurance Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
4.	International Energy Insurance Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
5.	Thomas Wyatt Nigeria Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
6.	Union Dicon Salt Plc	BMR	The National Council has approved the Company to be placed on restructuring subject to filing Quarterly Compliance Report
7.	Union Homes Savings & Loans Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
8.	DN Tyre & Rubber Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
9.	Multi-Trex Integrated Foods Plc	BMR	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report

Schedule 9: Regulatory Suspensions

The companies listed in Schedule 9 were suspended pursuant to the provisions of Rule 3.1, Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules), which provides that: *"If an Issuer fails to file the relevant accounts by the expiration of the Cure Period, The Exchange will:*

- a) send to the Issuer a "Second Filing Deficiency Notification" within two (2) business days after the end of the Cure Period;*
- b) suspend trading in the Issuer's securities; and*
- c) notify the Securities and Exchange Commission (SEC) and the Market within twenty-four (24) hours of the suspension."*

Suspensions - 2020				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Medview Airline Plc	December	1-Sept-20	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Capital Oil Plc	December	1-Sept-20	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2019				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Goldlink Insurance Plc	December	2-Jul-19	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Resort Savings & Loans Plc	December	2-Jul-19	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Standard Alliance Insurance Plc	December	2-Jul-19	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2018				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Multi-Trex Integrated Foods Plc	April	1-Nov-18	Failure to file the relevant accounts by the expiration of the Cure Period
2.	International Energy Insurance Plc	December	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Unic Diversified Holdings Plc	December	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
4.	DN Tyre & Rubber Plc	September	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
5.	STACO Insurance Plc	December	5-Jul-18	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2017				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Nigerian German Chemical Plc	March	4-Oct-17	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Roads Nigeria Plc	March	4-Oct-17	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Aso Savings & Loans Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
4.	Evans Medical Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
5.	Union Homes Savings & Loans Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period

**PART C:
LISTINGS REPORT**

SCHEDULE 10: LISTINGS REPORT (Applications, Listings, Delistings and Capital Restructuring)

APPROVED APPLICATIONS IN 2020

ISSUER/APPLICANT	TYPE OF APPLICATION	STOCK BROKER(S)	ISSUING HOUSE(S)/FINANCIAL ADVISER(S)	DATE APPROVED	NARRATION
SUNU Assurances Nigeria Plc	Placement	FSDH Securities Limited	Mainstreet Capital Limited	29 December 2020	Approval to list 3,010,800,000 ordinary shares of 50 Kobo each of SUNU Assurance Plc offered through Private Placement to SUNU Participations Holdings SA and SUNU Assurances Vie Cote D'ivoire SA at N1.00 per share
Guaranty Trust Bank Plc	Merger and Acquisition	Vetiva Securities Limited	Vetiva Capital Management Limited	18 December 2020	Approval to List 29,431,179,224 ordinary shares of Guaranty Trust Holding Company Plc and delist the shares of Guaranty Trust Bank Plc as a result of a Proposed Scheme of Arrangement between Guaranty Trust Bank Plc and the Holders of Its fully paid ordinary shares of 50 Kobo each for Restructuring of Guaranty Trust Bank Plc
UAC of Nigeria Plc	Block Divestment	Interstate Securities Limited	N/A	12 November 2020	Application for the divestment of 8,519,026,201 ordinary shares of 50 Kobo each representing 45.90% (being the second tranche of block divestment of 9,465,584,668 ordinary shares of 50 kobo each) of the issued share capital of

					UACN Property Development Company Plc held by UAC of Nigeria Plc (UACN) to Custodian Investment Plc (Custodian) at 70 Kobo per share
McNichols Consolidated Plc	Migration	N/A	N/A	23 October 2020	Migration of 326,700,000 ordinary shares of 50 Kobo of McNichols Consolidated Plc from the ASeM Board to the Growth Board of the Exchange
The Initiates Plc	Migration	N/A	N/A	23 October 2020	Migration of 889,981,552 ordinary shares of 50 Kobo of The Initiates Plc from the ASeM Board to the Growth Board of the Exchange
Livingtrust Mortgage Bank Plc	Migration	N/A	N/A	23 October 2020	Migration of 5,000,000,000 ordinary shares of 50 Kobo of the Livingtrust Mortgage Bank Plc from the ASeM Board to the Growth Board the Exchange
Chellarams Plc	Migration	N/A	N/A	23 October 2020	Migration of 722,925,000 ordinary shares of 50 Kobo of Chellarams Plc from the ASeM Board to the Growth Board of the Exchange
Abbey Mortgage Bank Plc.	Rights Issue	Anchoria Investments and securities Limited	Kairos Capital Limited	20 October 2020	Application for the approval of Rights Issue of 3,692,307,691 ordinary shares of 50 Kobo each at N0.82 per share on the basis of four (4) new ordinary shares for every seven (7) ordinary shares held as at the close of

					business on Thursday, 8 October 2020.
Law Union and Rock Insurance Plc	Voluntary Delisting	CardinalStone Securities Limited	CardinalStone Partners Limited	14 September 2020	Application for the approval of the scheme of arrangement for the acquisition of Law Union & Rock Insurance Plc leading to delisting from the Daily official List of The Nigerian Stock Exchange
UAC of Nigeria Plc	Block Divestment	Interstate Securities Limited	N/A	28 August 2020	Application for the approval of block divestment of 946,558,467 ordinary shares of 50 Kobo each being the first tranche of the proposed divestment of 9,465,584,668 ordinary shares of 50 Kobo each at N0.70 per share of UACN Property Development Company Plc held by UAC of Nigeria Plc to Custodian Investment Plc
United Capital Plc	Corporate Bond	United Capital Securities Limited	Absa Capital Markets Nigeria Limited; FSDH Merchant Bank Limited; and Union Capital Markets Limited.	21 August 2020	Application for approval for the Listing by Introduction of N10,000,000,000 5 Year 12.5% Senior Unsecured Fixed Rate Series I Bonds Due 2025 Under the N30,000,000,000 Debt Issuance Programme.
ARM Investment Managers Limited	Memorandum Listing	ARM Securities Limited	ARM Securities Limited	10 August 2020	Application for approval for the Memorandum Listing of 1,000,000 units of ARM Eurobond Fund of \$1.00 each

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ARM Investment Managers Limited	Memorandum Listing	ARM Securities Limited	ARM Securities Limited	10 August 2020	Application for approval for the Memorandum Listing of 500,000,000 units of ARM Fixed Income Fund of N1.00 each.
Allan Gray Investment Management Nigeria Limited	Voluntary Delisting	Stanbic IBTC Stockbrokers Limited	N/A	4 August 2020	Application to delist the entire 43,024 units of Allan Gray Africa Equity Fund from the list of Memorandum Quotation
Transcorp Hotels Plc	Rights Issue	United Capital Securities Limited	United Capital Plc	20 July 2020	Application for approval and listing of Transcorp Hotels Plc's Rights Issue of 2,659,574,468 ordinary shares of 50 Kobo each at N3.76 per share on the basis of seven (7) new ordinary shares for every twenty (20) ordinary shares held as at Monday, 13 July 2020.
LAPO MFB SPV Plc	Corporate Bonds	FBNQuest Securities Limited	FBNQuest Merchant Bank Limited and Coronation Merchant Bank Limited	23 June 2020	Application for approval and listing by Introduction of LAPO MFB SPV Plc's N6.2billion 13.00% Fixed Rate Series 2 Senior Unsecured Bonds Due 2025 under the N20 billion Debt Issuance Programme
AllCO Insurance Plc	Rights Issue	Magnartis Finance and Investment Limited; and Stanbic IBTC Stockbrokers Limited	Stanbic IBTC Capital Limited	22 June 2020	Application for approval and listing of AllCO Insurance Plc's proposed Rights Issue of 4,357,770,954 ordinary shares of 50 kobo each at 80 kobo per share on the basis of 5 new ordinary shares for every 13 ordinary shares held as at

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					the close of business on Monday, 15 June 2020
Axxela Funding 1 Plc	Corporate Bonds	Afrinvest Securities Limited	Chapel Hill Denham Advisory Limited; Stanbic IBTC Capital Limited; FBNQuest Merchant Bank Limited; Rand Merchant Bank Nigeria Limited; Renaissance Securities (Nigeria) Limited; Vetiva Capital Management Limited; and Afrinvest (West Africa) Limited.	11 June 2020	Application for approval for listing by Introduction of N11.5 Billion 14.30% Fixed Rate Series 1 Bond due 2027 Under the N50 Billion Bond Issuance Program.
Dangote Cement Plc	Corporate Bonds	Meristem Stockbrokers Limited	Stanbic IBTC Capital Limited; Absa Capital Markets Nigeria Limited; Coronation Merchant Bank Limited; Ecobank Development Company Limited; FBNQuest Merchant Bank Limited; FCMB Capital Markets Limited; Futureview Financial Services Limited; Quantum Zenith Capital & Investments Limited; Rand Merchant Bank Nigeria Limited; Standard Chartered Capital and Advisory Nigeria Limited; United Capital Plc; Vetiva Capital Management Limited	29 May 2020	Application for approval for Listing by Introduction of N100 Billion 12.50%, Fixed Rate Series 1 Senior Unsecured Bonds Due 2025 Under the N300 Billion Debt Issuance Program
Prestige Assurance Plc	Rights Issue	Imperial Asset Managers Limited	Eczellon Capital	7 April 2020	Application for approval and listing of Prestige Assurance Plc's proposed

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					Rights Issue of 13,635,796,006 ordinary shares of 50 kobo each at 50 kobo per share on the basis of thirty-eight (38) new ordinary shares for every fifteen (15) ordinary shares held as at the close of business on 31 January 2020 ³
eTranzact International Plc	Rights Issue	Cordros Securities Limited; Forte Financials Limited; and Reward Investments & Securities Limited	Meristem Capital Limited; PAC Capital Limited; and Stanbic IBTC Capital Limited	3 April 2020	Application for approval and listing of eTranzact International Plc's proposed Rights Issue of 4,666,666,667 ordinary shares of 50 Kobo each at N1.50 on the basis of ten (10) new ordinary shares for every nine (9) ordinary shares held as at close of business on Wednesday, 25 March 2020.
Omoluabi Mortgage Bank Plc	Block Divestment	Morgan Capital Securities Limited and Atlass Portfolio Limited	N/A	27 March 2020	Block Divestment of 1,400,000,000 ordinary shares of 50 Kobo each (28%) at ₦0.55 per share of Omoluabi Mortgage Bank Plc previously held by Morgan Capital Securities Limited in favour of Cititrust Financial Services Limited (being second tranche of the 43.93% Block Divestment initially approved by The Exchange)
Dangote Sugar Refinery Plc	Merger	Vetiva Securities Limited	Standard Chartered Capital and Advisory Nigeria Limited; Vetiva	20 March 2020	Application for approval to list 146,878,241 ordinary shares of 50

³ This application is a revision to the terms of the initial application that was approved by The Exchange on 6 February 2020

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			Capital Management Limited		Kobo each arising from a Scheme of Merger between Dangote Sugar Refinery Plc and Savannah Sugar Company Limited.
FBNQ MB Funding SPV Plc's	Corporate Bond	FBNQuest Securities Limited	Coronation Merchant Bank Limited; FBNQuest Merchant Bank Limited and FSDH Merchant Bank Limited	16 March 2020	Application for approval and listing of FBNQ MB Funding SPV Plc's N5 Billion – 10.50% Fixed Rate Series 1, Senior Unsecured Bond due 2023 Under the N50 Billion Bond Issuance Program
Flour Mills of Nigeria Plc	Corporate Bond	CSL Stockbrokers Limited	Stanbic IBTC Capital Limited; ARM Securities Limited; FBNQuest Merchant Bank Limited; FCMB Capital Markets Limited; and Quantum Zenith Capital & Investments Limited	16 March 2020	Application for approval and listing of Flour Mills of Nigeria Plc's ₦12,499,600,000.00 3-Year 10.00% Series 3 (Tranche A) Fixed Rate Senior Unsecured Bond Due 2023 and ₦7,500,400,000 5-Year 11.10% Series 3 (Tranche B) Fixed Rate Senior Unsecured Bond Due 2025 Under The ₦70,000,000,000 Flour Mills of Nigeria Plc Bond Issuance Programme
Consolidated Hallmark Insurance Plc	Rights Issue	Planet Capital Limited	Planet Capital Limited Iworld Financial Services Limited	6 February 2020	Application for approval and listing of Consolidated Hallmark Insurance Plc's Rights Issue of 2,032,500,000 ordinary shares of 50 kobo each at 52 kobo Per share on the basis of one (1) new ordinary share for every four (4) ordinary

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			SFS Financial Services Limited		shares held as at the close of business on Monday, 3 February 2020.
Prestige Assurance Plc:	Rights Issue	Imperial Asset Managers Limited	Eczellon Capital	6 February 2020	Application for approval and listing of Prestige Assurance Plc's Rights Issue of 13,465,377,640 ordinary shares of 50 kobo each at 50 kobo per share on the basis of five (5) new ordinary shares for every two (2) ordinary shares held as at the close of business on Friday, 31 January 2020.
Lagos State Government	State Government Bond	MBC Securities Limited Chapel Hill Denham Securities Limited; Greenwich Securities Limited; Lead Capital Securities Limited; Nigerian Stockbrokers Limited; Readings Investments Limited; Signet Securities Limited; and Stanbic IBTC Stockbrokers Limited	Chapel Hill Denham Advisory Partners Limited; Commercio Partners Capital Limited; Coronation Merchant Bank Limited; FBNQuest Merchant Bank Limited; FCMB Capital Markets Limited; Kairos Capital Limited; Lead Capital Limited; Phoenix Global Capital Limited; Quantum Zenith Capital & Investment Limited; Radix Capital Partners Limited; SFS Financial Service Limited; Stanbic IBTC Capital Limited; United Capital Plc; and Vetiva Capital Management Limited	30 January 2020	Application for Listing of Lagos State Government Bond of N100 Billion Series III: 10 Years 12.25% Fixed Rate Bond Due 2029 under The N500 Billion Bond Issuance Program

Ondo State Government	State Government Bond	Greenwich Securities Limited; Global View Capital Limited; Icon Stockbrokers Limited; and Morgan Capital Limited	Greenwich Trust Limited; Cordros Capital Limited; FBNQuest Merchant Bank Limited; MBC Capital Limited; and Vetiva Capital Management Limited	28 January 2020	Ondo State N14.8 Billion, 13% Fixed Rate Series 1 Bonds Due 2027 under the N50 Billion Medium Term Note Issuance Program
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New Listings in 2020

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
Prestige Assurance Plc	30 December 2020	6,891,365,187	0.50	3,445,682,594	Supplementary: Listing of additional 6,891,365,187 ordinary shares of 50 kobo each arising from Prestige Assurance Plc's Rights Issue of 13,635,796,006 ordinary shares of 50 Kobo each at 50 Kobo per share on the basis of thirty-eight (38) new ordinary shares for every fifteen (15) ordinary shares held as at close of business on 31 January 2020. The offer was 50.54% subscribed.
AIICO Insurance Plc	29 December 2020	4,357,770,954	0.80	3,486,216,763	Supplementary: Listing of additional 4,357,770,954 ordinary shares of 50 kobo each arising from AIICO Insurance Plc's Rights Issue of 4,357,770,954 ordinary shares of 50 kobo each at 80 kobo per share on the basis of 5 new ordinary shares for every 13 ordinary shares held as at the close of business on Monday, 15 June 2020
Federal Government of Nigeria	4 December 2020	40,000,000	1,000	40,000,000,000	Supplementary: Listing of 40,000,000 units of 12.50% FGN

					March 2035 Bonds (12.50% FGN MAR 2035)
Federal Government of Nigeria	4 December 2020	40,000,000	1,000	40,000,000,000	Supplementary: Listing of 40,000,000 units of 9.80% FGN July 2045 Bonds (9.80% FGN JUL 2045)
McNichols Consolidated Plc	30 November 2020	326,700,000	N/A	N/A	Migration from the ASeM Board to the Growth Board
The Initiates Plc	30 November 2020	889,981,552	N/A	N/A	Migration from the ASeM Board to the Growth Board
Livingtrust Mortgage Bank Plc	30 November 2020	5,000,000,000	N/A	N/A	Migration from the ASeM Board to the Growth Board
Chellarams Plc	30 November 2020	722,925,000	N/A	N/A	Migration from the ASeM Board to the Growth Board
ARM Investment Managers Limited	25 November 2020	500,000,000	1	500,000,000	New: Memorandum Listing of 500,000,000 units of ARM Fixed Income Fund of N1.00 each
ARM Investment Managers Limited	25 November 2020	1,000,000	\$1	\$1,000,000	New: Memorandum Listing of 1,000,000 units of the ARM Eurobond Fund of \$1 each
Federal Government of Nigeria	18 November 2020	295,632	1,000	295,632,000	New: Listing of 295,632 units of 2.759% FGS NOV 2023 bond
Federal Government of Nigeria	18 November 2020	27,920	1,000	27,920,000	New: Listing of 27,920 units of 1.759% FGS NOV 2022
Federal Government of Nigeria	6 November 2020	22,500,000	1,000	22,500,000,000	Supplementary: Listing of 22,500,000 units of 12.50% FGN March 2035 Bonds (12.50% FGN MAR 2035)
Federal Government of Nigeria	6 November 2020	27,500,000	1,000	27,500,000,000	Supplementary: Listing of 27,500,000 units of 9.80% FGN July 2045 Bonds (9.80% FGN JUL 2045)
NewGold Issuer (RF) Limited	28 October 2020	687,142	8,440 ⁴	5,799,478,480	Supplementary: Listing of 687,142 units of NEWGOLD ETF
Federal Government of Nigeria	26 October 2020	29,122	1,000	29,122,000	New: Listing of 29,122 units of 2.453% FGNSB OCT 2022

⁴ Closing price of New Gold ETF on The Exchange on Wednesday, 27 October 2020

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Federal Government of Nigeria	26 October 2020	147,076	1,000	147,076,000	New: Listing of 147,076 units of 3.453% FGNSB OCT 2023
Federal Government of Nigeria	19 October 2020	69,307,275	1,000	69,307,275,000	Supplementary: Listing of additional 69,307,275 units of 12.50% FGN JAN 2026
Federal Government of Nigeria	19 October 2020	25,430,000	1,000	25,430,000,000	Supplementary: Listing of additional 25,430,000,000 units of 12.50% FGN MAR 2035
Federal Government of Nigeria	19 October 2020	6,810,001	1,000	6,810,001,000	Supplementary: Listing of additional 6,810,001 units of 9.80 FGN JUL 2045
Federal Government of Nigeria	19 October 2020	4,600,000	1,000	4,600,000,000	Supplementary: Listing of additional 4,600,000 units of 12.98% FGN MAR 2050
Federal Government of Nigeria	6 October 2020	91,619	1,000	91,619,000	New: Listing of 91,619 units of 3.501% FGS SEP 2022
Federal Government of Nigeria	6 October 2020	202,665	1,000	202,665,000	New: Listing of 202,665 units of 4.501% FGS SEP 2023
Dangote Sugar Refinery PLC.	30 September 2020	146,878,241	12.4 ⁵	146,878,241	Supplementary: Listing of additional 146,878,241 ordinary shares of Dangote Sugar Refinery Plc arising from the Scheme of Merger between Dangote Sugar Refinery Plc and Savanah Sugar Company limited.
Federal Government of Nigeria	24 September 2020	22,948,003	1,000	22,948,000,000	Supplementary: Listing of 22,948,000 units of 12.50% FGN MAR 2035
Federal Government of Nigeria	24 September 2020	16,091,696	1,000	16,091,696,000	Supplementary: Listing of 16,091,696 units of 9.80% FGN JUL 2045
Federal Government of Nigeria	24 September 2020	53,685,602	1,000	53,685,602,000	Supplementary: Listing of 53,685,602 units of 12.98% FGN MAR 2050
United Capital Plc	22 September 2020	10,000,000	1,000	10,000,000,000	New: Listing of United Capital Plc's N10,000,000,000 5 year 12.5% Senior Unsecured Fixed Rate Series 1 bond due 2025 Under the N30,000,000,000 Debt Issuance Programme

⁵ Share price as at the listing date.

Stanbic IBTC Holdings Plc	16 September 2020	601,030,210	39.10 ⁶	23,500,281,211	Supplementary: Listing of additional 601,030,210 ordinary shares of 50 kobo each resulting from the scrip dividend offered to eligible shareholders of Stanbic IBTC Holdings Plc who elected to receive new ordinary shares in lieu of cash dividends with respect to the N2.00 final dividend for the financial year ended 31 st December 2019
Meristem Wealth Management Limited	10 September 2020	50,000,000	10	500,000,000	New: Listing of 50,000,000 units of Meristem Growth Exchange Traded Fund at N10.00 each.
Meristem Wealth Management Limited	10 September 2020	50,000,000	10	500,000,000	New: Listing of 50,000,000 units of Meristem Value Exchange Traded Fund at N10.00 each
Federal Government of Nigeria	4 September 2020	380,549	1,000	380,549,000	New: Listing of 380,549 units of 4.61% FGN August 2023 Savings Bonds.
Federal Government of Nigeria	4 September 2020	137,375	1,000	137,375,000	New: Listing of 137,375 units of 3.61% FGN August 2022 Savings Bonds.
Federal Government of Nigeria	27 August 2020	75,000,000	1,000	75,000,000,000	New: Listing of 75,000,000 units of 9.80% FGN JUL 2045
Federal Government of Nigeria	27 August 2020	25,000,000	1,000	25,000,000,000	Supplementary: Listing of 25,000,000 units of 12.50% FGN JAN 2026
Federal Government of Nigeria	27 August 2020	42,000,000	1,000	42,000,000,000	Supplementary: Listing of 42,000,000 units of 12.50% FGN MAR 2035
Federal Government of Nigeria	27 August 2020	36,521,987	1,000	36,521,987,000	Supplementary: Listing of 36,521,987 units of 12.98% FGN MAR 2050
Consolidated Hallmark Insurance Plc	20 August 2020	2,032,500,000	0.52	1,056,900,000	Supplementary: Listing of Consolidated Hallmark Insurance Plc's Rights Issue of 2,032,500,000 ordinary shares of 50 Kobo each at 52 Kobo per

⁶ Share price as at the date the additional shares were admitted.

					share on the basis of one (1) new ordinary share for every four (4) ordinary shares held as at close of business on 3 February 2020
C & I Leasing Plc	23 July 2020	377,393,667	6.00	2,264,362,002	Supplementary: Listing of additional 377,393,667 ordinary shares of 50 Kobo each arising from C & I Leasing Plc's Rights Issue of 539,003,333 ordinary shares of 50 Kobo each at N6 per share on the basis of 4 new ordinary shares for every 3 ordinary shares held as at 4 September 2019
Axxela Funding 1 Plc	16 July 2020	11,500,000	1,000	11,500,000,000	New: Listing of Axxela Funding 1 Plc N11,500,000,000.00 14.30% Fixed Rate Series 1 Bonds due 2027 under the N50,000,000,000.00 Bond Issuance Program.
Federal Government of Nigeria	16 July 2020	32,989,935	1,000	32,989,935,000	Supplementary: Listing of 32,989,935 units of 12.75% FGN APR 2023
Federal Government of Nigeria	16 July 2020	16,223,001	1,000	16,223,001,000	Supplementary: Listing of 16,223,001 units of 12.50% FGN MAR 2035
Federal Government of Nigeria	16 July 2020	50,787,064	1,000	50,787,064,000	Supplementary: Listing of 50,787,064 units of 12.98% FGN MAR 2050
LAPO MFB SPV Plc	29 June 2020	6,200,000	1,000	6,200,000,000	New: Listing of LAPO MFB SPV Plc's N6.2 Billion 13.00% Fixed Rate Series 2 Senior Unsecured Bonds Due 2025 under the N20 Billion Debt Issuance Programme
NewGold Issuer (RF) Limited	16 June 2020	900,000	7,800 ⁷	7,020,000,000	Supplementary: Listing of 900,000 units of NEWGOLD ETF
Dangote Cement Plc	15 June 2020	100,000,000	1,000	100,000,000,000	New: Listing of Dangote Cement Plc's N100 Billion, 5

⁷ Closing price of New Gold ETF on The Exchange on Tuesday, 16 June 2020

					Years 12.50% Series 1 Bond Due April 2025 under the N300 Billion Debt Issuance Program.
Federal Government of Nigeria	10 June 2020	255,479,774	1,000	255,479,774,000	New: Listing of 255,479,774 units of 12.50% FGN MAR 2035
Federal Government of Nigeria	10 June 2020	238,832,602	1,000	238,832,602,000	New: Listing of 238,832,602 units of 12.98% FGN MAR 2050
Federal Government of Nigeria	10 June 2020	142,440,509	1,000	142,440,509,000	Supplementary: Listing of 142,440,509 units of 12.75% FGN APR 2023
UACN Property Development Company Plc	2 June 2020	15,961,574,145	1.00	15,961,574,145	Supplementary: Listing of UACN Property Development Company Plc's Rights Issue of 15,961,574,145 ordinary shares of 50 Kobo each at N1.00 per share on the basis of forty-three (43) new ordinary shares for every seven (7) ordinary shares held as at close of business on Monday, 30 September 2019
FBNQ MB Funding SPV Plc	15 May 2020	5,000,000	1,000	5,000,000,000	New: Listing of FBNQ MB Funding SPV Plc's N5 Billion – 10.50% Fixed Rate Series 1, Senior Unsecured Bond Due 2023 Under the N50 Billion Bond Issuance Program
Primero BRT Securitization SPV Plc	9 April 2020	16,500,000	1,000	16,500,000,000	New: Listing of Primero BRT Securitization SPV Plc's N16,500,000,000 series 1: 17% Fixed Rate Bonds due 2026 under the N100,000,000,000 Medium Term Bond Program
Flour Mills of Nigeria Plc	9 April 2020	7,500,400	1,000	7,500,400,000	New: Listing of N7,500,400,000 5-Year 11.10% Series 3 (Tranche B) Fixed Rate Senior Unsecured Bond Due 2025 Under The N70,000,000,000 Flour Mills of Nigeria Plc Bond Issuance Programme
Flour Mills of Nigeria Plc	9 April 2020	12,499,600	1,000	12,499,600,000	New: Listing of N12,499,600,000 3-Year 10.00% Series 3 (Tranche A)

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					Fixed Rate Senior Unsecured Bond Due 2023 Under The N70,000,000,000 Flour Mills of Nigeria Plc Bond Issuance Programme
Federal Government of Nigeria	3 April 2020	206,307	1,000	206,307,000	New: Listing of 206,307 units of 5.131% FGN March 2023 Savings Bonds
Federal Government of Nigeria	3 April 2020	78,672	1,000	78,672,000	New: Listing of 78,672 units of 4.131% FGN March 2022 Savings Bonds
Federal Government of Nigeria	30 March 2020	55,000,000	1,000	55,000,000,000	Supplementary: Listing of 55,000,000 units of 12.75% FGN April 2023 Bonds
Federal Government of Nigeria	30 March 2020	65,000,000	1,000	65,000,000,000	Supplementary: Listing of 65,000,000 units of 14.55% FGN APR 2029 Bonds
Federal Government of Nigeria	30 March 2020	40,000,000	1,000	40,000,000,000	Supplementary: Listing of 40,000,000 units of 14.80% FGN APR 2049 Bonds
Golden Guinea Breweries Plc	26 March 2020	752,508,000	1.60	1,204,012,800	Supplementary: Listing of Golden Guinea Breweries Plc's Private Placement of 752,508,000 ordinary shares of 50 Kobo each at N1.60 Kobo per share.
Federal Government of Nigeria	10 March 2020	361,557	1,000	361,557,000	New: Listing of 361,557 units of 6.910% FGN February 2023 Savings Bonds
Federal Government of Nigeria	10 March 2020	295,020	1,000	295,020,000	New: Listing of 295,020 units of 5.910% FGN February 2022 Savings Bonds
Red Star Express Plc	6 March 2020	336,855,291	4.00	1,347,421,164	Supplementary: Listing of Red Star Express Plc's Rights Issue of 336,855,291 ordinary shares of 50 Kobo each at N4.00 per share on the basis of four (4) new ordinary shares for every seven (7) ordinary shares held
Wapic Insurance Plc	3 March 2020	10,608,941,258	0.38	4,031,397,678	Supplementary: Listing of Wapic Insurance Plc's

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					10,608,941,258 ordinary shares of 50 kobo at N0.38 per share on the basis of seven (7) new ordinary shares for every six (6) ordinary shares held
Ondo State Government	24 February 2020	14,800,000	1,000	14,800,000,000	New: Listing of ₦14,800,000,000 Series 1, 7 Years 13% Fixed Rate Bond Due 2027 Under the N50,000,000,000 Medium Term Bond Program
AllCO Insurance Plc	19 February 2020	4,400,000,000	1.20	5,280,000,000	Supplementary: Listing of AllCO Insurance Plc's Private Placement of 4,400,000,000 ordinary shares of 50 Kobo each at N1.20 Kobo per share.
Federal Government of Nigeria	6 February 2020	454,352	1,000	454,352,000	New: Listing of 454,352 units of 7.144% FGS January 2022 Bonds.
Federal Government of Nigeria	6 February 2020	877,792	1,000	877,792,000	New: Listing of 877,792 units of 8.144% FGS January 2023 Bonds.
Federal Government of Nigeria	7 February 2020	156,508,035	1,000	156,508,035,000	Supplementary: Listing of 156,508,035 units of 12.75% FGN April 2023 Bonds.
Federal Government of Nigeria	7 February 2020	289,924,250	1,000	289,924,250,000	Supplementary: Listing of 289,924,250 units of 14.55% FGN April 2029 Bonds
Federal Government of Nigeria	7 February 2020	505,714,158	1,000	505,714,158,000	Supplementary: Listing of 505,714,158 units of 14.80% FGN April 2049 Bonds.

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International Breweries Plc	7 February 2020	18,266,206,614	9.00	164,395,859,526	Supplementary: Listing of International Breweries Plc's Rights Issue of 18,266,206,614 ordinary shares of 50 kobo each at N9.00 per share on the basis of 17 new ordinary share for every 8 ordinary share.
Abbey Mortgage Bank Plc	6 February 2020	2,261,538,462	1.05	2,261,538,462	Supplementary: Listing of Abbey Mortgage Bank Plc's 2,261,538,462 ordinary shares of 50 kobo each offered by way of placement to VFD Group Plc at N1.05 per share.
Interswitch Africa One Plc	31 January 2020	23,000,000	1,000	23,000,000,000	Listing of N23,000,000,000 15.00% Fixed Rate Series 1 Senior Unsecured Bonds Due 2026 Under a N30,000,000,000 Bond Issuance Program
BUA Cement Plc	9 January 2020	33,864,354,060	35	1,185,252,392,100	Listing of 33,864,354,060 ordinary shares of 50 kobo each of BUA Cement Plc (formerly Obu Cement) via a reverse scheme of merger between Cement Company of Northern Nigeria Plc and Obu Cement Company Plc.

Delistings in 2020

ISSUER/SECURITY	DATE DELISTED	SECTOR	QUANTITY DELISTED	PRICE (₦)	VALUE/MARCAP (₦)	DESCRIPTION
Federal Government of Nigeria	13 December 2020	Government Bond	196,165	1,000	196,165,000	Maturity of the 12.738% FGNSB DEC. 2020 bond

Federal Government of Nigeria	12 December 2020	Government Bond	63,959	1,000	63,959,000	Maturity of the 12.402% FGS DEC 2020 bond
Federal Government of Nigeria	13 December 2020	Government Bond	196,165	1,000	196,165,000	Maturity of the 12.738% FGNSB DEC. 2020 bond
Federal Government of Nigeria	12 December 2020	Government Bond	63,959	1,000	63,959,000	Maturity of the 12.402% FGS DEC 2020 bond
Nigerian Aviation Handling Company Plc	5 December 2020	Corporate Bond	2,050,000	1,000	2,050,000,000	Maturity of the 15.25% NAH DEC 2020 Bond
Transcorp Hotels Plc	4 December 2020	Corporate Bond	9,758,000	1,000	9,758,000,000	Maturity of the 15.50% TRN DEC 2020 Bond
Lagos State Government	27 November 2020	State Government Bond	87,500,000	1,000	87,500,000,000	Maturity of the 13.50% LAB Nov 2020 Bond
Fidelity Bank Plc	18 November 2020	Corporate Bond	30,000	1,000	30,000,000	Early Redemption of the Fidelity Bank Plc's N30 Billion 16.48% Fixed Rate 7 Year Subordinated Unsecured Bonds due 2022 (16.48% FBB MAY 2022)
Federal Government of Nigeria	15 November 2020	Federal Government Bond	183,807	1,000	183,807,000	Maturity of 13.091% FGNSB NOV 2020
Federal Government of Nigeria	14 November 2020	Federal Government Bond	30,020	1,000	30,020,000	Maturity of 12.390% FGS NOV 2020

X-COMPLIANCE REPORT

Law Union and Rock Insurance Plc	5 November 2020	Financial Services	4,296,330,500	1.10	4,725,963,550	Voluntary delisting of the entire 4,296,330,500 ordinary shares of Law Union and Rock Insurance Plc pursuant to the Scheme of Arrangement for the acquisition of Law Union and Rock Insurance Plc by Kanuri LUR Limited
Federal Government of Nigeria	18 October 2020	Federal Government Bond	273,914	1000	273,914,000	Maturity of 13.059% FGN SB OCT 2020.
Federal Government of Nigeria	21 September 2020	Federal Government Bond	252,658	1,000	252,658,000	Maturity of 14.817% FGN SEP 2020.
Federal Government of Nigeria	21 September 2020	Federal Government Bond	252,658	1,000	252,658,000	Maturity of 14.817% FGN SEP 2020.
Federal Government of Nigeria	14 September 2020	Federal Government Bond	91,562	1,000	91,562,000	Maturity of the 11.364% FGS SEP 2020.
Federal Government of Nigeria	17 August 2020	Federal Government Bond	522,497	1,000	522,497,000	Maturity of the 14.535% FGS AUG 2020.
Federal Government of Nigeria	17 August 2020	Federal Government Bond	49,327	1,000	49,327,000	Maturity of the 10.668% FGNSB AUGUST 2020.
African Development Bank	17 August 2020	Financial Services	1,618,750	1,000	1,618,750,000	Cancellation of 1,618,750 amortized units of the African Development Bank's N12.95 Billion 11.25% Fixed Rate

						Senior Unsecured Notes Due 2021 under a N160 Billion Medium Term Note Programme
Allan Gray Investment Management Nigeria Limited	7 August 2020	Investment Management	43,024	56,097.25	2,413,528,084	Voluntary delisting of the entire 43,024 units of Allan Gray Africa Equity Fund from the list of Memorandum Quotation
Federal Government of Nigeria	13 July 2020	Federal Government Bond	79,985	1,000	79,985,000	Maturity of the 10.483% FGNSB JULY 2020
Federal Government of Nigeria	13 July 2020	Federal Government Bond	239,803	1,000	239,803,000	Maturity of the 14.386% FGS JULY 2020
Federal Government of Nigeria	15 June 2020	Federal Government Bond	335,696	1,000	335,696,000	Maturity of the 14.189% FGN SB JUNE 2020
Federal Government of Nigeria	15 June 2020	Federal Government Bond	50,754	1,000	50,754,000	Maturity of the 10.344% FGN SB JUNE 2020
Federal Government of Nigeria	18 May 2020	Federal Government Bond	21,440	1,000	21,440,000	Maturity of the 9.48% FGN SB MAY 2020
Federal Government of Nigeria	18 May 2020	Federal Government Bond	483,199	1,000	483,199,000	Maturity of the 14.189% FG SB MAY 2020
Federal Government of Nigeria	20 April 2020	Federal Government Bond	64,922	1,000	64,922,000	Maturity of the 10.75% FGN SB APR 2020

Federal Government of Nigeria	14 April 2020	Federal Government Bond	868,690	1,000	868,690,000	Maturity of the 13.794% FGN SB APR 2020
Federal Government of Nigeria	16 March 2020	Federal Government Bond	30,523	1,000	30,523,000	Maturity of the 10.746% FGNSB MAR 2020 Bond
African Development Bank	13 March 2020	Financial Services	4,856,250	1,000	4,856,250,000	Cancellation of 4,856,250 amortized units of the African Development Bank's N12.95 Billion 11.25% Fixed Rate Senior Unsecured Notes Due 2021 under a N160 Billion Medium Term Note Programme
Federal Government of Nigeria	17 February 2020	Federal Government Bond	32,821	1,000	32,821,000	Maturity of the 10.277% FGNSB FEB 2020 Bond
Federal Government of Nigeria	14 February 2020	Federal Government Bond	606,430,000	1,000	606,430,000,000	Maturity of the 15.54% FGN FEB 2020 Bond
Federal Government of Nigeria	17 January 2020	Federal Government Bond	73,054	1,000	73,054,000	Maturity of the 11.098% FGNSB JAN 2020 bond
Continental Reinsurance Plc	17 January 2020	FINANCIAL SERVICES- Insurance Carriers, Brokers and Services	10,372,744,312	2.20	22,820,037,486.40	Voluntary delisting of the entire 10,372,744,312 ordinary shares of Continental Reinsurance Plc from the Daily Official List of The Nigerian Stock Exchange.

X-COMPLIANCE REPORT

Cement Company of Northern Nigeria (CCNN)	9 January 2020	Industrial Goods	13,143,500,966	18.10	237,897,367,485	Delisting of the entire issued ordinary share capital of CCNN Plc as a result of its merger with BUA Cement Plc (formerly Obu Cement Plc)
A.G. Leventis (Nigeria) Plc	7 January 2020	Conglomerates	2,647,290,305	0.55	2,647,290,305	Voluntary Delisting of the entire issued ordinary share capital of A.G. Leventis (Nigeria) Plc

SHARE BUYBACK IN 2020

ISSUER/APPLICANT	NARRATION	STOCKBROKER (S)	DATE APPROVED
Dangote Cement Plc	No-Objection for Dangote Cement's proposed share buyback programme of up to 1,704,050,740 fully paid up ordinary shares of 50 Kobo each, representing 10% of the total issued shares of the Company	Vetiva Securities Limited	29 May 2020

SHARE RECONSTRUCTION IN 2020

S/N	ISSUER/APPLICANT	NARRATION	STOCKBROKER (S)	DATE LISTED
1.	SUNU Assurances Nigeria Plc	Listing of 2,800,000,000 ordinary shares resultant from the share capital reconstruction exercise which involved the cancellation of four (4) existing ordinary shares out of every five (5) ordinary shares of the 14,000,000,000 ordinary shares of SUNU Assurances Nigeria Plc held by existing shareholders	FSDH Securities Limited	6 November 2020



PART D: OTHER INFORMATION

SCHEDULE 11: GENERAL MEETINGS OF LISTED COMPANIES

Companies listed on The Exchange are required to notify The Exchange of the details of their General Meetings (Annual, Extra-Ordinary or Court Ordered). Schedule 11 below gives information on the General Meetings holding during the period stated.

General Meetings for December 2020					
S/N	Company Name	Venue	Date	Time	Meeting Type
1.	Mutual Benefits Assurance Plc	Sheba Centre, 20 Mobolaji Bank Anthony Way, Ikeja Lagos.	3 Dec 2020	9:00 a.m.	EGM
2.	Guaranty Trust Bank Plc	Oriental Hotel, 3 Lekki Road, Victoria Island, Lagos.	4 Dec 2020	10:00 a.m.	COM
3.	AXA Mansard Insurance Plc.	Oriental Hotel, 3 Lekki Road, Victoria Island, Lagos	7 Dec 2020	10.00am	EGM
4.	AllCO Insurance Plc	Radisson Blu Hotel, 38-40 Isaac John Street, Ikeja, Lagos	8 Dec 2020	12:00 noon	AGM
5.	Nigerian Enamelware Plc	Lagos Airport Hotel, Awolowo Way, Ikeja, Lagos.	8 Dec 2020	10:00 a.m.	AGM
6.	NEM Insurance Plc.	The Conference Room, NEM House, 199, Ikorodu Road, Obanikoro	10 Dec 2020	9:00 a.m.	EGM
7.	Interlinked Technologies Plc	Adna Hotel, 28 Oladipo Bateye Street, GRA Ikeja, Lagos	10 Dec 2020	11:00 a.m.	AGM
8.	Ellah Lakes Plc	No. 7 Ibiyinka Olorunbe Close, Victoria Island, Lagos	11 Dec 2020	11:00 a.m.	AGM
9.	Omatek Ventures Plc	Golden Gate Restaurant, 25B Glover Road, Ikoyi, Lagos	15 Dec 2020	11:00 a.m.	AGM
10.	ValuAlliance Value Fund	11th Floor, Alliance Place, 33A, Alfred Rewane Road, Ikoyi, Lagos.	21 Dec 2020	11:00 a.m.	AGM